

**SOURCE OF FUND AND WEALTH DECLARATION FORM**

**NAME OF CLIENT/INVESTOR:**

Anti-money laundering regulations and legislations have evolved over the years, and we are required to understand the origin of an investment prior to completing any transaction. Please provide sufficient information to allow us to understand how you have obtained the funds for your investment and the source of wealth. Kindly be informed that all information and documents will remain confidential and secure.

I/We hereby confirm that investments made into the above-named Company/Fund:

- ❖ Are not made on behalf of a third party.
- ❖ The funds invested are derived from legitimate sources and are not linked and/or derived from criminal origin, of whatsoever nature, and in particular do not constitute the proceeds of money laundering or terrorist financing; and
- ❖ Originate from the following source: (you can mark more than one option)

**Professional salary**

Name of Employer:

Occupation:

Address of Employer:

Number of years employed:

Annual Gross Income:

**Savings**

**Capital of Company/Dividends or income from business:**

If yes, please provide the details, including Company name and amount:

**Gift/Inheritance:**

If yes, please give details, including origin, date received and amount:

**Profit from sold or maturity investment:**

If yes, please provide the date of liquidation/sale and sale amount:

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**Profit from property sale:**

If yes, please provide the date and total sale amount:

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**Profit from sale of Company:**

If yes, please provide the name and nature of the company, date, and amount of sale:

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**Compensation Payment:**

If yes, please provide details on the type and amount of compensation received:

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**Pension:**

If yes, please provide details on the type and amount of compensation received:

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**Others, please specify:**

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Opportunik Global Fund reserves the right to request for evidence and/or back-up documentation as detailed in the guidelines if deemed necessary. I declare that the information provided in this form is true and complete. I agree to provide Opportunik Global Fund with any further information or documentary evidence in respect of the investment upon request.

Signature of Investor/Client:

Date:

**SOURCE OF FUND AND WEALTH GUIDELINES**

<b>Description of Source</b>	<b>Documents to be provided</b>
Income from salary	<ul style="list-style-type: none"> <li>❖ Payslip (latest 3 months)</li> <li>❖ Letter from employer confirming salary &amp; years in employment</li> </ul>
Savings	<ul style="list-style-type: none"> <li>❖ Bank statement for the last 3 months</li> </ul>
Maturity or surrender of life policy	<ul style="list-style-type: none"> <li>❖ Closing statement</li> <li>❖ Letter from insurance</li> </ul>
Sale/liquidation of investments	<ul style="list-style-type: none"> <li>❖ Certificate/Contract Note/Statement</li> <li>❖ Bank Statement showing the redemption proceeds incoming.</li> </ul>
Sale of property	<ul style="list-style-type: none"> <li>❖ Deed of sale</li> </ul>
Company Sale	<ul style="list-style-type: none"> <li>❖ Letter from Notary detailing company sale.</li> <li>❖ Copy of the sale contract and statement showing proceeds.</li> </ul>
Inheritance	<ul style="list-style-type: none"> <li>❖ Deed from Notary</li> </ul>
Divorce Settlement or any other form of settlement or compensation	<ul style="list-style-type: none"> <li>❖ Copy of the court order/agreement</li> </ul>
Company Profits	<ul style="list-style-type: none"> <li>❖ Copy of latest audited company accounts</li> </ul>
Retirement Income	<ul style="list-style-type: none"> <li>❖ Pension statement</li> <li>❖ Bank statement showing the pension income.</li> <li>❖ Letter from the paying institution</li> </ul>
Employer Premium	<ul style="list-style-type: none"> <li>❖ Employer letter on headed paper confirming the amount to be paid.</li> <li>❖ Copy of the last audited company accounts</li> </ul>
Fixed deposit – saving	<ul style="list-style-type: none"> <li>❖ Fixed Deposit statement</li> </ul>
Dividend payment	<ul style="list-style-type: none"> <li>❖ Solvency Test</li> <li>❖ Bank statement showing the income.</li> <li>❖ Letter detailing dividend details signed by recognised accountant.</li> </ul>

**The above list is provided for guidance purposes only, and each case will be considered for its own merits.**